



Receipt

BEST AVAILABLE COPY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: : Group Art Unit: 2164
Asit Dan et al. : Confirmation No.: 1775
Serial No.: 09/821,445 : Examiner: Unassigned
Filed: March 29, 2001 : Date: August 6, 2001
For: AUTOMATED DYNAMIC NEGOTIATION OF ELECTRONIC
SERVICE CONTRACTS

RECEIVED

AUG 17 2001

Commissioner for Patents
Washington, D. C. 20231

Technology Center 2100

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Request for Corrected Filing Receipt; and
3. Copy of Official Filing Receipt with correction
marked up in red ink

is being deposited with the United States Postal Service as first
class mail in an envelope addressed to:

Commissioner for Patents
Washington, D. C. 20231

on August 6, 2001.

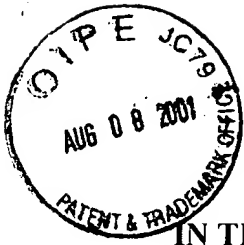
Michelle Parra

(Type or print name of person mailing paper)

Michelle Parra

(Signature of person mailing paper)

Attorney Docket No. YOR920010137US1



BEST AVAILABLE COPY

Atty Docket No. YOR920010137US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Asit Dan et al.

RECEIVED

AUG 17 2001

Application Serial No.: 09/821,445

Group Art Unit: 2164

Technology Center 2100

Confirmation No.: 1775

Filed: March 29, 2001

Examiner: Unassigned

For: AUTOMATED DYNAMIC
NEGOTIATION OF ELECTRONIC
SERVICE CONTRACTS

Attorney Docket No.: YOR920010137US1

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached is a copy of the Official Filing Receipt received from the Patent and Trademark Office in the above identified application. Issuance of a corrected Filing Receipt is respectfully requested due to a misspelling of the third-listed inventor's name.

The correct spelling and capitalization of the third-listed inventor's name should read:
Nagui Halim, Yorktown Heights, NY.

BEST AVAILABLE COPY

Atty Docket No. YOR920010137US1

Corrections have been made in red on the enclosed copy of the filing receipt.

Please update your records accordingly and issue a corrected filing receipt.

Respectfully submitted,

Date August 6, 2001

Gail H. Zarick

Gail H. Zarick
Attorney for Applicants
Reg. No. 43,303
Tel. (914) 945-3701

IBM Thomas J. Watson Research Center
Intellectual Property Law Department
P.O. Box 218, 39-244
Yorktown Heights, New York 10598

Enclosures



BEST AVAILABLE COPY

Page 1 of 4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/821,445	03/29/2001	2164	1442	YOR920010137US1	9	34	9

CONFIRMATION NO. 1775

Gail H. Zarick
IBM CORPORATION
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, NY 10598

RECEIVED

AUG 17 2001

Technology Center 2100

FILING RECEIPT



OC000000006051434

Date Mailed: 05/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

(Nagui) NAGUI

Asit Dan, Pleasantville, NY;
Daniel Manuel Dias, Mohegan Lake, NY;
Nagui Halim, Yorktown Heights, NY;
Linh Hue Lam, Yorktown Heights, NY;
Martin William Sachs, Westport, CT;

Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 05/07/2001

Projected Publication Date: 10/03/2002

Non-Publication Request: No

Early Publication Request: No

IBM
YORKTOWN
2001 MAY 14 AM 10:13
INTELLECTUAL PROPERTY
LAW DEPT.

BEST AVAILABLE COPY

Page 2 of 4

Title

Automated dynamic negotiation of electronic service contracts

Preliminary Class

705

Data entry by : YOSEPH, LETEYESUS

Team : OIPE

Date: 05/08/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not-retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Bib Data Sheet

CONFIRMATION NO. 1775 10-11-01

SERIAL NUMBER 09/821,445	FILING DATE 03/29/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. YOR920010137US1
APPLICANTS Asit Dan, Pleasantville, NY; Daniel Manuel Dias, Mohegan Lake, NY; Nagui Halim, Yorktown Heights, NY; Linh Hue Lam, Yorktown Heights, NY; Martin William Sachs, Westport, CT;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 05/07/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged Examiner's Signature _____ Initials _____		STATE OR COUNTRY NY	SHEETS DRAWING 9	TOTAL CLAIMS 34
			INDEPENDENT CLAIMS 9	
ADDRESS Gail H. Zarick IBM CORPORATION Intellectual Property Law Dept. P.O. Box 218 Yorktown Heights, NY 10598				
TITLE Automated dynamic negotiation of electronic service contracts				
FILING FEE RECEIVED 1442	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	